Samuel P. Crawford Executive Director

HOUSING AUTHORITY

OF THE CITY OF DOTHAN, ALABAMA P.O. BOX 1727 DOTHAN, ALABAMA 36302-1727 ADMINISTRATION OFFICE: 602 SOUTH LENA (334) 794-6713 (334) 712-1415 FAX

March 19, 2021

Velma M. Byron Director, Office of Public Housing U.S. Department of Housing and Urban Development Birmingham Office 950 22nd Street North, Suite 900 Birmingham, AL 35203-5301

Re: Approval of Annual Plan FY2021

Dear Ms. Byron:

I am requesting approval of our Annual Plan for FY2021.

Sincerely,

Samuel P. Crawford Chief Executive Officer Attachment: AL007

Dothan Housing Authority

Certifications for FY 2021 Annual PHA Plan

Form HUD-50077-ST-HCV-HP: PHA Certifications of Compliance with PHA Plans and Related Regulations (Standard, Troubled, HCV-Only, and High Performer PHAs) including Civil Rights Certifications

Form HUD-50077-SL: Certification by State or Local Office of PHA
Consistency with the Consolidated Plan or
State Consolidated Plan

Streamlined Annual PHA Plan

(High Performer PHAs)

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires: 02/29/2016

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

- (1) High-Performer PHA A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments
- (2) Small PHA A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) Housing Choice Voucher (HCV) Only PHA A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) Standard PHA A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) Troubled PHA A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) Qualified PHA A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled.

PHA Information.					
PHA Name: Housing Authority of the City of Dothan PHA Code: AL007 PHA Type: ☐ Small ☑ High Performer PHA Plan for Fiscal Year Beginning: (MM/YYYY): 01/01/2021 PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units 331 Number of Housing Choice Vouchers (HCVs)					
☐ PHA Consortia: (Check	; box if submitting	z a Joint PHA Plan and complete tab	ble below)		
		g a Joint PHA Plan and complete tal		No. of Units i	n Each Progra
PHA Consortia: (Check	PHA Code	g a Joint PHA Plan and complete tab Program(s) in the Consortia	ole below) Program(s) not in the Consortia	No. of Units i	3
			Program(s) not in the	100000000000000000000000000000000000000	n Each Progr HCV

В.	Annual Plan Elements
B.1	Revision of PHA Plan Elements. (a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission? Y N Statement of Housing Needs and Strategy for Addressing Housing Needs. Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. Financial Resources. Rent Determination. Homeownership Programs. Safety and Crime Prevention. Pet Policy. Substantial Deviation. Significant Amendment/Modification (b) The PHA must submit its Deconcentration Policy for Field Office Review. (c) If the PHA answered yes for any element, describe the revisions for each element below: See attachment (Annual Plan Elements)
B.2	New Activities. (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N Hope VI or Choice Neighborhoods. Mixed Finance Modernization or Development. Demolition and/or Disposition. Conversion of Public Housing to Tenant-Based Assistance. Conversion of Public Housing to Tenant-Based Assistance under RAD. Project-Based Vouchers. Units with Approved Vacancies for Modernization. Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants). (b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan. See attachment (Annual Plan Elements)

B.3	Progress Report. Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.		
	See attachment (Annual Plan Elements, Dothan Regional Voucher Program)		
B.4.	Most Recent Fiscal Year Audit.		
	(a) Were there any findings in the most recent FY Audit?		
	Y N		
	(b) If yes, please describe:		
-7)	Other Document and/or Certification Requirements.		
C.1	Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan		
	Form 50077-ST-HCV-HP, Certification of Compliance with PHA Plans and Related Regulations, must be submitted by the PHA as an electronic attachment to the PHA Plan.		
	Attachments: (AL007c) and (AL007cl)		
C.2	Civil Rights Certification.		
	Form 50077-ST-HCV-HP, Certification of Compliance with PHA Plans and Related Regulations, must be submitted by the PHA as an electronic attachment to the PHA Plan.		
	Attachment: (AL007c2)		
C.3	Resident Advisory Board (RAB) Comments.		
	(a) Did the RAB(s) provide comments to the PHA Plan?		
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	If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.		
	RAB Comments (Future Virtual RAB Meetings): Although DHA was not able to gather RAB comments for this Annual Plan under normal circumstances. However, DHA plans to offer virtual RAB meetings in the future. According to PIH Notice 2020-18, DHA plans to implement its digital transformation by investing in technology to improve communication and to enhance customer service through a responsive website, social media channels, resident portals, and other telecommunication software. This will allow the DHA to continue to prevent, prepare for, and respond to the COVID-19 pandemic while preventing the spread of the coronavirus.		
C.4	Certification by State or Local Officials.		
	Form HUD 50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan.		
	Attachment: (AL007sl)		
D	Statement of Capital Improvements. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).		
D.1	Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD.		

Instructions for Preparation of Form HUD-50075-HP Annual Plan for High Performing PHAs

- A. PHA Information. All PHAs must complete this section.
 - A.1 Include the full PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type, and the Availability of Information, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

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nual Plan.
Revision of PHA Plan Elements. PHAs must:
Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the "yes" box. If an element has not been revised, mark "no."
Statement of Housing Needs and Strategy for Addressing Housing Needs. Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA's strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the jurisdiction or on the waiting list based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(1) and 24 CFR §903.12(b).
Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions. Describe the PHA's admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA's policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. 24 CFR §903.7(b) Describe the PHA's procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. 24 CFR §903.7(b) A statement of the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b) Describe the unit assignment policies for public housing. 24 CFR §903.7(b)
Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program and state the planned use for the resources. (24 CFR §903.7(c)
Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d)
☐ Homeownership Programs. A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. (24 CFR §903.7(k) and 24 CFR §903.12(b).
☐ Safety and Crime Prevention (VAWA). A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. (24 CFR §903.7(m)(5))
Pet Policy. Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. (24 CFR §903.7(n))
Substantial Deviation. PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i)
Significant Amendment/Modification. PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. Should the PHA fail to define 'significant amendment/modification', HUD will consider the following to be 'significant amendments or modifications': a) changes to rent or admissions policies or organization of the waiting list; b) additions of non-emergency public housing CFP work items (items not included in the current CFP Annual Statement or CFP 5-Year Action Plan); or c) any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. See guidance on HUD's website at: Notice PIH 1999-51. (24 CFR §903.7(r)(2)(ii)
If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.
PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

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В.2	for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "yes"
	☐ Hope VI. 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on
	HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)
	☐ Mixed Finance Modernization or Development. 1) A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD's website at:
	http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)
	Demolition and/or Disposition. Describe any public housing projects owned by the PHA and subject to ACCs (including name, project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed. The application and approval process for demolition and/or disposition is a separate process. Seguidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm . (24 CFR §903.7(h))
	Conversion of Public Housing. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance
	on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))
	Project-Based Vouchers. Describe any plans to use HCVs for new project-based vouchers. (24 CFR §983.57(b)(1)) If using project-based vouchers, provide the projected number of project-based units and general locations and describe how project-basing would be consistent with the PHA Plan.
	Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).
В.3	Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year PHA Plan. (24 CFR §903.7(r)(1))
B.4	Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. (24 CFR §903.7(p))
Oth	ner Document and/or Certification Requirements

C.

- Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 SM-HP.
- Civil Rights Certification. Form HUD-50077 SM-HP, PHA Certifications of Compliance with the PHA Plans and Related Regulation, must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o))
- Resident Advisory Board (RAB) comments. If the RAB provided comments to the annual plan, mark "yes," submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA's decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)
- Certification by State or Local Officials. Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15)
- D. Statement of Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. (24 CFR 903.7 (g))
 - D.1 Capital Improvements. In order to comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan. PHAs can reference the form by including the following language in Section C. 8.0 of the PHA Plan Template: "See HUD Form 50075.2 approved by HUD on 02/25/2020.3

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low-income, very low-income, and extremely low-income families.

Public reporting burden for this information collection is estimated to average 16.64 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

Annual Plan Elements

B.1. Revision of PHA Plan Elements

1. Financial Resources: Updated for anticipated income FY2021.

Attachment B - Financial Resources

Sources	Planned \$	Planned Uses
1. Federal Grants (FY2021 grants)		
a) Public Housing Operating Fund	1,472,575	RAD, PH Operations, SVC-Section 22
b) Public Housing Capital Fund	883,997	RAD, PH & Capital Operations, SVC- Section 22
c) Annual Contributions for Section 8 Tenant-Based Assistance	6,772,472	Voucher Operations (HAP/Admin Fees)
d) Resident Opportunity and Self- Sufficiency Grants	146,105	ROSS Program
e) Community Development Block Grant – building improvements	250,000	Housing and Enreichment Center
f) Community Development Block Grant – after school program	8,417	Resident Services
g) Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below) CARES Act Funding	429,213	PH Operations Voucher Operations
3. Public Housing Dwelling Rental Income	119,246	PH Operations
4. Other income (list below)		
Income on Investments	20,323	Operations
Sales and Services	188,193	Operations
Other Income	287,585	Operations
Total Resources	10,578,126	

2. Significant Amendment/Modification: The Housing Authority of the City of Dothan (DHA) plans to apply to the U.S. Department of Housing and Urban Development (HUD) for Streamlined Voluntary Conversion (SVC) of AMP Number AL007000050 for the remaining 117 public housing units during the FY2021 Annual Plan. According to PIH Notice 2020-13, HUD is waiving these requirements and establishing an alternative requirement that any change to a PHA policy. except for changes related to Section 18, Section 22, or the Rental Assistance Demonstration (RAD). Therefore, the decision to convert AMP AL007000050 to Section 22 SVC involves the significant amendment requirements process for the Housing Authority of the City of Dothan's Annual Plan. The Housing Authority of the City of Dothan is required to notify public housing residents of any impacts that the significant amendment may have on them. HUD recognizes that the COVID-19 pandemic presents unique challenges from a staffing and communication perspective and encourages PHAs to adapt their communications in consideration of local conditions and resources. Therefore, the Housing Authority of the City of Dothan posted Significant information on its social media sites, explained the information in the quarterly newsletter, and sent a formal written letter with an attached flyer to the residents of AMP AL007000050, Marvin Lewis Village, and Ussery Homes. DHA will have future SVC meetings online through telecommunications outlets.

B.2. New Activities

1. Conversion of Public Housing to Tenant-Based Assistance: The Housing Authority of the City of Dothan (DHA) plans to apply to HUD for Streamlined Voluntary Conversion (SVC) of AMP Number AMP AL007000050 for the 117 public housing units during the FY2021. This SVC as specified in PIH Notice 2019-05, states this is authorized by Section 22 of the United States Housing Act of 1937, and it is implementing regulations at 24 CFR 972, Part B, authorizing PHAs to convert public housing to tenant-based assistance. If the SVC is approved, all existing eligible public housing residents would receive Tenant Protection Vouchers (TPVs). All residents would have the right to remain in their existing unit paying affordable rent. Low-income residents who are income-eligible and receive Tenant Protection Vouchers would also have the right to transfer their assistance to another unit in the private market using a Section 8 Housing Choice Voucher Program (HCVP). Additionally, the units will remain online and available for rental housing, with no demolition or anticipated rehabilitation work requiring relocation. The units will remain designated for rental housing for low-income families in perpetuity. Upon completion of the conversion, the DHA will close out its Low-Income Public Housing Program. Before close-out of its Low-Income Public Housing Program, the DHA will utilize "Use of Proceeds" under Section 22 of the U.S. Housing Act1937 Act under PIH Notice 2020-23 for eligible uses of its remaining public housing reserves that include supporting families transitioning to the Housing Choice Voucher Program (HCVP) under (7) Eligible Use of Gross Proceeds and (8) Eligible Use of Net Proceeds. Through this Annual Plan update, the Housing Authority expresses an intention to apply for Section 22 Streamlined Voluntary Conversion, including SVC removal action and PHA submission of removal application to HUD.

B.3. Progress Report

- 1. The DHA is committed to being a High Performer with all its affordable housing programs:
 - The DHA PHAS score for FY2018 was 98.
 - b. The DHA SEMAP score for FY2019 was 90.
- 2. The following corrective actions have been implemented based on the FY2019 SEMAP performance assessment:
 - a. DHA currently administers HCVs in four different counties in the Dothan Metro Area (DMA) which are Houston, Geneva, Henry, and Dale. Unfortunately, for FY2019, the DHA scored a "0" out of "5" Indicator #8: Payment Standards. To improve the score and obtain the full 5 points for Indicator #8, DHA enhanced the communication amongst staff of both the HCVP and Finance departments with bi-weekly meetings, has started to effectively utilize the Two-Year Tool to monitor success rates, and has started utilizing the HUD Payment Standards Tool. Additionally, DHA will utilize the recommendations in HUD PIH Notice 2020-29: Guidance for Running an Optimized HCVP and implement the tools listed in this notice recommended in this guidance publication to streamline operations. As DHA moves forward with the acquisition of the additional 208 Housing Choice Vouchers (HCVs) from Troy Housing Authority (THA) during FY2021 with an effective date of July 1, 2021; the DHA has purchased a modern-day housing software to streamline its operations, track payment standards compliance, the Two-Year Tool (TYT), and other SEMAP indicators.
 - b. DHA lease-up lagged during the FY2019 due to the withholding of leasing based on the 55 HCVs for the Howell School Senior Apartments Project-Based Voucher (PBV) mixedfinance development. The DHA scored a "15" out of "20" for Indicator #13: Lease-Up Payment Standards. To improve the score and obtain the full 20 points for Indicator #13, the DHA has started the process of improving its Voucher Management System (VMS) processes, has improved communication amongst staff of both the HCVP and Finance departments by having bi-weekly meetings and has started to effectively utilize the Two-Year Tool to monitor success rates. Additionally, the DHA plans to process three (3) check-runs to maintain accuracy in meeting this SEMAP indicator. The DHA has hired a landlord liaison based on the HUD Evidence Matters Winter 2019 publication "Landlords and Vouchers" recommendations. The landlord liaison position will be utilized to attract, recruit, and retain landlords. The DHA has started to invest in technology by purchasing a cloud-based, modern-day housing software that includes an online portal that allows the PHA, voucher holders, and landlords to track the lease-up process online that can be accessed through its new responsive website that is scheduled to be launched in the second quarter of FY2021. The DHA also purchased the Go Section 8 software (www.gosection8.com) for its rent reasonableness process. The Go Section 8 will serve as a database for landlords and voucher participants to interact to streamline the lease-up process. DHA is following best practices that are identified in the HCV Landlord Guidebook Technology chapter.

- c. DHA did not have a staff member devoted to the administration of the Family-Sufficiency (FSS) program during the FY2019, and the number of mandatory 14 FSS participant slots under the HUD-approved exception fell to 6 FSS participants. For FY2019, the DHA scored a "5" out of "10" Indicator #14 Family-Sufficiency (FSS). To improve the score and obtain the full 10 points for Indicator #14, the DHA plans to apply for additional FSS funding and recruit a full-time FSS Coordinator for the FY2021. The FSS Coordinator will monitor the FSS escrow balances, the Contracts of Participation (CoP), and the Individual Training and Service Plans (ITSPs) for FSS participants. The FSS Coordinator will re-establish and coordinate the activities of the Program Coordinating Committee (PCC). The FSS Coordinator will recruit and retain FSS participants and assist them with their program goals and objectives. Over the term of this annual plan, if DHA does not receive funding for the FSS program, DHA will explore alternative funding sources to fund the FSS program.
- 3. The DHA plans to add additional special-purpose vouchers (SPVs) to its portfolio by applying for HCVs through the following:
 - a. DHA applied for 25 vouchers under the Foster Youth to Independence (FYI) Initiative and plans to complete the MOU with partnering agencies to receive foster youth referrals
 - b. DHA plans to apply for additional 50 vouchers under the Foster Youth to Independence (FYI) Initiative competitive grant with HUD.
 - c. DHA plans to apply for the Emergency Solutions Grant (ESG) and Emergency Rental Assistance Program (ERAP) funding to start a Tenant-Based Rental Assistance (TBRA) program.
- 4. The DHA plans to acquire vouchers from surrounding PHAs in the Dothan Metro Area to launch the Dothan Regional Voucher Program:
 - a. DHA plans to acquire 208 additional vouchers from Troy Housing Authority (THA) in FY2021 with an effective date of July 1, 2021.
- 5. The DHA maintains a public housing occupancy rate of 98%; not to include units offline for modernization for 504 ADA or RAD conversion.
- 6. The DHA maintains a lease-up rate of 98%.
- 7. DHA increased Assisted Housing Choices and Expanded Housing Opportunities:
 - a. Hired a Landlord Liaison to attract, recruit, and retain landlords. The position will be utilized to recruit landlords in low-poverty areas as well.
 - b. DHA plans to apply for MTW Cohort #4 Landlord Incentives PIH Notice 2021-03 during FY2021.
 - c. DHA applied for 10 AmeriCorps VISTAs to implement the Dothan Mobility Program to assist with the SVC & Choice-Mobility option for RAD PBV voucher holders.

- d. DHA has successfully converted three public housing developments (Henry Green Homes LLC, Crimson Ridge LLC, & McRae Homes LLC) to the RAD PBV program.
- e. DHA implemented a Choice Mobility waiting list for RAD PBV after one year (12/2019) of conversion at Henry Green Homes LLC, Crimson Ridge LLC, & McRae Homes LLC with a cap of 20%. In FY2021, out of the 339 RAD PBV, 68 families will receive HCVs.
- f. The DHA entered into an Agreement to Enter HAP (AHAP) contract for a PBV contract for the Howell School Senior Apartments that had a grand opening in October 2020.
- g. The DHA purchased and started managing 19 market-rate apartments for workforce housing.
- 8. DHA improved quality of life by affirmatively furthering fair housing, providing equal housing opportunities, and complying with civil rights:
 - a. Improved lease enforcement with the partnership with Dothan Police Department (DPD) through the Memorandum of Agreement (MOA) to fund eight (8) law enforcement officer (LEOs) that are designated to the DHA developments and provided police reports.
 - b. Conducted a regulatory background check for eligibility through an online database and with the partnership with DPD.
 - c. DHA ensured that staff did not subjectively interpret the "One Strike and You're Out" law" and avoided violating fair housing through discrimination for denying individuals public housing or the HCVP by using arrest records to make determinations.
 - d. Consulted and participated in the City of Dothan's Consolidated Plan and Analysis of Impediments to Fair Housing Choice for public housing residents and HCVP participants. DHA also collaborated with the City of Dothan to develop the City of Dothan Strategic Affordable Housing Implementation Plan in 2018.
 - e. DHA plans to recruit a full-time Community Safety Coordinator during FY2021 who will be responsible for the day-to-day activities to support a quality of life living environment and overseeing all DHA safety initiatives. The Community Safety Coordinator will be responsible for coordinating and developing crime prevention efforts on all DHA properties including its offices. The Community Safety Coordinator will be the point of contact within DHA for the DPD and other law enforcement agencies.
 - f. DHA plans to conduct a comprehensive safety assessment for its properties through the Crime Prevention through Environmental Design (CTPED) and implement the recommendations of the CPTED assessment regarding cameras, lighting, etc.
 - g. If awarded the FY2019 HUD Safety and Security, DHA will utilize the funding to implement the recommendation of the CPTED safety assessment during the FY2021.
- 9. DHA promoted Economic Self-Sufficiency & Independent-Living:
 - a. Resident Opportunity & Self-Sufficiency (ROSS-SC) Coordinators have started to reestablish the Program Coordinating Committee (PCC) for the program and DHA plans to combine the ROSS PCC with the FSS program's PCC during the FY2021. The DHA purchased Family Metrics software to efficiently upload reports, track program outcomes, and analyze metrics. DHA utilized family metrics to move from outputs to outcomes by evaluating economic self-sufficiency and independent living for ROSS participants.



December 30, 2020

RE: Significant Amendment Formal Notification for the Residents of AMP AL007000050 (Marvin Lewis Village & Ussery Homes) to apply for the Section 22 Streamlined Voluntary Conversion (SVC) during FY2021 Annual Plan

Dear Resident,

The Housing Authority of the City of Dothan plans to apply to the U.S. Department of Housing and Urban Development (HUD) for Streamlined Voluntary Conversion (SVC) of AMP Number AL007000050 for the remaining 117 public housing units during the FY2021 Annual Plan. This process requires the significant amendment process. The significant amendment process for a Public Housing Authority (PHA) must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan based on the changes with our conversion activities: Conversion of Public Housing to Tenant-Based Assistance. If approved DHA will convert its Public Housing to Tenant Protection Vouchers (Tenant-Based Assistance) which requires a revision to FY2020-2024 5-Year Plan as well.

There are a total of 31 units at Marvin Lewis Village and 86 at Ussery Homes. This Streamlined Voluntary Conversion (SVC) as specified in PIH Notice 2019-05, states this is authorized by Section 22 of the United States Housing Act of 1937, and implementing regulations at 24 CFR 972, Part B, authorizing PHAs to convert public housing to tenant-based assistance. If the SVC is approved, all existing eligible public housing residents would receive Tenant Protection Vouchers (TPVs).

All residents would have the right to remain in their existing unit paying affordable rent. Low-income residents who are income-eligible and receive Tenant Protection Vouchers (TPV) would also have the right to transfer their assistance to another unit in the private market using a Section 8 Housing Choice Voucher (HCV). Additionally, the units will remain online and available for rental housing, with no demolition or anticipated rehabilitation work requiring relocation. The units will always remain designated for rental housing for low-income families.

Upon completion of the conversion, the Housing Authority of the City of Dothan will close out its Low-Income Public Housing Program. Before close-out of its Low-Income Public Housing Program, the Housing Authority of the City of Dothan will utilize "Use of Proceeds" under Section 22 of the U.S. Housing Act 1937 Act under PIH Notice 2020-23 for eligible uses of its remaining public housing reserves to support families transitioning to the Housing Choice Voucher Program (HCVP). Through this Annual Plan update, the Housing Authority of the City of Dothan expresses an intention to apply for Section 22 Streamlined Voluntary Conversion (SVC), including SVC removal action and PHA submission of removal application to HUD.



The Housing Authority of the City of Dothan adopted the HUD PIH Notice 2020-13, COVID-19 Statutory and Regulatory Waivers for the Public Housing, Housing Choice Voucher, Waivers Applicable to Both Public Housing and Housing Choice Voucher Programs (HCV) HCV-1: PHA 5-Year and Annual Plan Submission Dates, Significant Amendment Requirements, on November 10, 2020, during its regular Board of Commissioners meeting. These statutory and regulatory waivers for Public Housing and Housing Vouchers provided additional waivers and alternative requirements, extends the periods of availability for previously established waivers and alternative requirements for the Housing Authority of the City of Dothan to continue and streamline our operations during the COVID-19 pandemic.

HUD is waiving these requirements and establishing an alternative requirement that any change to a PHA policy, except for changes related to Section 18, Section 22, or the Rental Assistance Demonstration (RAD). Therefore, the decision to convert AMP AL007000050 to the Section 22 SVC involves the significant amendment requirements process for the Housing Authority of the City of Dothan's Annual Plan. The Housing Authority of the City of Dothan is required to notify public housing residents of any impacts that the significant amendment may have on them.

As noted earlier, HUD recognizes that the COVID-19 pandemic presents unique challenges from a staffing and communication perspective and encourages PHAs to adapt their communications in consideration of local conditions and resources. Therefore, the Housing Authority of the City of Dothan has posted this information on its social media sites and this letter will serve as formal written notice to our residents. DHA plans to have future SVC meetings online through the ZOOM telecommunications outlet.

If you have any questions about this process, please contact your Community Manager, Ms. Tamaria Harris. Ms. Harris can be reached by phone at (334) 796-1726 ext. 131 and by email at tgrubbs@dothanhousing.org.

Sincerely.

Michael C. Threatt Chief Operating Officer

Cc: Resident File

Samuel P. Crawford, Chief Executive Officer

Tamaria Harris, Community Manager for Marvin Lewis Village & Ussery Homes



DOTHAN HOUSING AUTHORITY FORMAL NOTICE FOR RESIDENTS OF MARVIN LEWIS VILLAGE AND USSERY HOMES

SECTION 22 SIGNIFICANT AMENDMENT FOR FY 2021 ANNUAL PLAN AND 5-YEAR PLAN FOR FY2020-2024

The Dothan Housing Authority plans to submit an application for Section 22 Streamlined Voluntary Conversion (SVC) for its remaining public housing units at Marvin Lewis Village and Ussery Homes. This decision requires a significant amendment for its Annual Plan and 5-Year Plan for FY2020-2024.



 If the Section 22 SVC is approved, all remaining 117 public housing units of Marvin Lewis Village and Ussery Homes will convert from public housing units to Tenant Protection Vouchers (TPV's).



- All residents have the right to remain in their existing units paying affordable rent.
- Residents who receive Tenant Protection Vouchers will have the right to transfer their assistance to another unit in the private market using a Section 8 Housing Choice Voucher (HCV).



The Dothan Housing Authority will utilize proceeds under Section 22 (SVC) for the eligible uses of its remaining public housing reserves to support families transitioning to the Section 8 Housing Choice Voucher Program (HCVP).



The Dothan Housing Authority is dedicated to developing and strengthening affordable housing opportunities that embrace best practices to assist families with taking the first steps toward homeownership.



For any questions please contact Community Manager, Ms. Tamaria Harris

Certifications of Compliance with PHA Plans and Related Regulations (Standard, Troubled, HCV-Only, and High Performer PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 02/29/2016

PHA Certifications of Compliance with the PHA Plan and Related Regulations including Required Civil Rights Certifications

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X_5-Year and/or X_Annual PHA Plan for the PHA fiscal year beginning 01/2021, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable
 Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing
 Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable
 Consolidated Plan.
- 3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
- 4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
- The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
- 6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those programs, addressing those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.
- 7. For PHA Plans that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2010-25);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in
 which to reside, including basic information about available sites; and an estimate of the period of time the applicant
 would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a
 pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
- 8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
- The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment
 Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
- 11. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

- 12. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- 13. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
- 14. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- 15. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- 16. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
- 17. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
- 18. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
- 19. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
- 22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the City of Dothan	AL007	
PHA Name	PHA Number/HA Code	
X_Annual PHA Plan for Fiscal Year 2021		
X5-Year PHA Plan for Fiscal Years 2020 - 2024		
hereby certify that all the information stated herein, as well as any information	on provided in the accompaniment herewith, is true and accurate. Warning: HUD will	
prosecute false claims and statements. Conviction may result in criminal and/o	or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official	Title	
Samuel P. Crawford	Chief Executive Officer	
Signature	Date	
	January 13, 2021	
Y	Page 2 of 2 form HUD-50077-ST-HCV-HP (12/2014)	

Civil Rights Certification (Qualified PHAs)

Housing Authority of the City of Dothan

Previous version is obsolete

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB Approval No. 2577-0226
Expires 02/29/2016

form HUD-50077-CR (2/2013)

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official, I approve the submission of the 5-Year PHA Plan for the PHA of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the public housing program of the agency and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those program, addressing those impediments in a reasonable fashion in view of the resources available and working with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.

AL007

PHA Name	PHA Number/HA Code
I haraby partify that all the information and	
prosecute false claims and statements. Conviction may result in criminal and	nation provided in the accompaniment herewith, is true and accurate. Warning: HUD will nd/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
Name of Authorized Official	Title
Samuel P. Crawford	Chief Executive Officer
Signature	Date January 13, 2021

Page I of I

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan (All PHAs)

U. S Department of Housing and Urban Development

Office of Public and Indian Housing OMB No. 2577-0226 **Expires 2/29/2016**

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan or State Consolidated Plan

I, Mark Saliba, the	Mayor
Official's Name	Official's Title
certify that the 5-Year PHA Plan and/or Annual P	HA Plan of the
Housing Authority of the City of Dothan	
PHA Name	
is consistent with the Consolidated Plan or State Con	solidated Plan and the Analysis of
Impediments (AI) to Fair Housing Choice of the	
City of Dothan, Alabama_	
	urisdiction Name
pursuant to 24 CFR Part 91.	
Provide a description of how the PHA Plan is consisted Consolidated Plan and the AI. All activities in the PHA Plan are consistent with the the City of Dothan is consulted and participates in the	Consolidated Plan. The Housing Authority of
Analysis of Impediments to Fair Housing Choice. The	
and the City of Dothan collaborated on developing the	
Housing Implementation Plan to position Dothan as the	ne regional leader in affordable housing.
I hereby certify that all the information stated herein, as well as any information provided in prosecute false claims and statements. Conviction may result in criminal and/or civil penalti	the accompaniment herewith, is true and accurate. Warning: HUD will es. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)
Name of Authorized Official	Title
Mark Saliba	Mayor
Signature Mud Burken	Date /-13- 2/